

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

ZEN-0002

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/534,128

INTERNATIONAL APPLICATION NO.

PCT/KR03/02415

INTERNATIONAL FILING DATE

11 November 2003

PRIORITY DATE CLAIMED

11 November 2002

TITLE OF INVENTION

PLANT SEED-SPECIFIC EXPRESSION PROMOTER DERIVED FROM SESAME AND SEED-SPECIFIC EXPRESSION VECTOR COMPRISING THE PROMOTER

APPLICANT(S) FOR DO/EO/US

Mi-Chung SUH; Mi-Jung KIM; Hee-Ja KIM; Chung-Han CHUNG; Jae-Ho PYEE; and Nam-In HYUNG

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☐ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☐ The US has been elected (Article 31).
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
12. ☐ An Assignment Document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A Preliminary Amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute Specification.
16. ☐ A Power of Attorney and/or change of address letter.
17. ☒ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: Statement 37 CFR §1.821; copy of Notification to Comply with Requirements

Date: 30 March 2006

U.S. APPLICATION (If known, see 37 CFR 1.5) 10/534,128		INTERNATIONAL APPLICATION PCT/KR03/02415		ATTORNEY'S DOCKET NO. ZEN-0002	
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The following fees have been submitted:				Calculations	PTO User Only
21.	<input type="checkbox"/>	Basic national fee	\$300.00	\$	
22.	<input type="checkbox"/>	Examination fee		\$	
If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)					
All other situations.....					
23.	<input type="checkbox"/>	Search fee		\$	
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB					
All other situations.....					
TOTAL OF 21, 22, and 23 =					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.				\$	
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims		11 - 20 =	0	x \$50.00	\$
Independent Claims		1 - 3 =	0	x \$200.00	\$
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$360.00	\$
TOTAL OF ABOVE CALCULATIONS =				\$ -0-	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$	
Processing fee of \$130.00 for furnishing the English language translation later than 30 months from the earliest priority date (37 CFR 1.492(f))				\$	
TOTAL NATIONAL FEE =				\$	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$ -0-	
				Amount to be refunded:	\$
				charged:	\$

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 16-0607. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:
CUSTOMER NO. 34610

FLESHNER & KIM, LLP
P.O. Box 221200
Chantilly, Virginia 22151-1200
USA

 SIGNATURE

Donald R. McPhail, Esq.
 NAME
35,811
 REGISTRATION NUMBER

Date: 30 March 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Mi-Chung SUH; Mi-Jung KIM; Hee-Ja KIM;
Chung-Han CHUNG; Jae-Ho PYEE; and
Nam-In HYUNG

Confirmation No.: 2798

Group Art Unit:

Serial No.: 10/534,128

Examiner:

Filed: May 6, 2005

Customer No.: 34610

For: **PLANT SEED-SPECIFIC EXPRESSION PROMOTER DERIVED FROM
SESAME AND SEED-SPECIFIC EXPRESSION VECTOR COMPRISING
THE PROMOTER**

STATEMENT UNDER 37 CFR §1.821

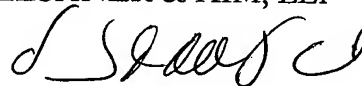
U.S. Patent and Trademark Office
Customer Service Window
Randolph Building
401 Dulany Street
Alexandria, Virginia 22314

Sir:

In response to the Notification to Comply with Requirements for Patent Applications Containing Nucleotide and/or Amino Acid Sequence Disclosures mailed March 3, 2006, Applicant submits herewith a CD containing the Sequence Listing in computer readable form. The Sequence Listing is identical to the paper Sequence Listing filed with the application papers on May 6, 2005.

Please charge any shortages in fees due in connection with the filing of this, concurrent and future replies, including extension of time fees, to Deposit Account 16-0607 and please credit any excess fees to such deposit account.

Respectfully submitted,
FLESHNER & KIM, LLP



Donald R. McPhail, Esq.
Registration No. 35,811

P. O. Box 221200
Chantilly, VA 20153-1200
703 766-3701 DRM/lm
Date: **MARCH 30, 2006**



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/534,128	Mi-Chung Suh	ZEN-0002

34610
FLESHNER & KIM, LLP
P.O. BOX 221200
CHANTILLY, VA 20153

INTERNATIONAL APPLICATION NO.	
PCT/KR03/02415	
I.A. FILING DATE	PRIORITY DATE
11/11/2003	11/11/2002

CONFIRMATION NO. 2798

371 FORMALITIES LETTER



OC000000017962392

Date Mailed: 03/03/2006

NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

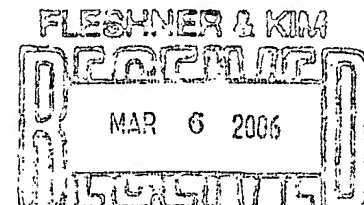
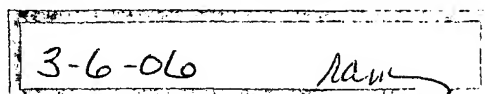
- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

FLESHNER & KIM
DOCKETED

INDIA L EVANS



Telephone: (703) 308-9140 EXT 212

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/534,128	PCT/KR03/02415	ZEN-0002

FORM PCT/DO/EO/922 (371 Formalities Notice)